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Substitute for form 1449/PTO

(Use as many sheets as necessary)

Sheet	1	of	2
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Complete if Known

Application Number	10/701,860
Filing Date	05 November 2003
First Named Inventor	Xu Zhu, et al.
Art Unit	2842 2825
Examiner Name	Not Yet Assigned J. Anya
Attorney Docket Number	DB001092-000

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ^o
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
15		WO 01/20948 A2	03-22-2001	Carnegie Mellon		
				University		

Examiner Signature	
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Date Considered	8/17/04
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.


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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	10701,860
				Filing Date	05 November 2003
				First Named Inventor	Xu Zhu, et al.
				Art Unit	2842 2825
				Examiner Name	Not Yet Assigned I. ANYA
				Attorney Docket Number	DB001092-000
Sheet	2	of	2		

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Examiner Signature		Date Considered	8/17/04
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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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Application Number	10/701,860
Filing Date	5 November 2003
First Named Inventor	Zhu et al.
Art Unit	Not Yet Assigned - 2825
Examiner Name	Not Yet Assigned T. ANYA
Attorney Docket Number	DB001092-000

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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
1A		WO 94/30030	12-22-1994	The Regents of the University of California		
1A		WO 93/19343	09-30-1993	Lynxvale Limited		

**Examiner
Signature**

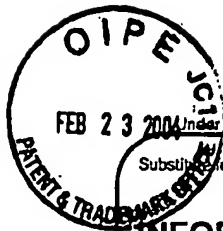
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 2 of 2

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NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
JA		MICHAEL PEDERSEN, WOUTER OLTHUIS and PIET BERGVELD, A Silicon Condenser Microphone with Polyimide Diaphragm and Backplate, Sensors and Actuators A, Elsevier Science, March 2, 1997, pages 97-104.	
JA		JOHN J. NEUMANN, JR. and KAIGHAM J. GABRIEL, CMOS-MEMS Membrane for Audio-Frequency Acoustic Acuation, Electrical and Computer Engineering Dept., Carnegie Mellon University, 2001, pages 236-239, XP-002240602.	

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